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# Sliding mode control application on UAVs under external disturbances

### Gabriele Perozzi

#### PhD student at Onera: the French aerospace lab, DAAP, Lille

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Main problem				
Based o	on article: <i>Altitude an</i>	d attitude sliding i	mode control	of

*UAV under wind disturbances.* G.Perozzi, D.Efimov, JM.Biannic, L.Planckaert, P.Coton. Submitted to IFAC 2017 Toulouse.

- Action in urban areas (eg: earthquakes like in Italy).
- Fluid obstacle.
- Unpredictable turbulent airflow pattern.



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Contributions				

- Aerodynamic model, which takes into account wind disturbances directly inside of UAV dynamics equations;
- Nonlinear control law which considers realistic assumptions on external disturbances of quadrotors.

## Why sliding mode control?

 SMC is an efficient tool to design robust controllers for nonlinear systems operating under uncertainty conditions

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UAV configuration				
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Rotational matrix

$$R = \begin{bmatrix} c_{\psi}c_{\theta} & -s_{\psi}c_{\phi} + c_{\psi}s_{\theta}s_{\phi} & s_{\phi}s_{\psi} + c_{\psi}s_{\theta}c_{\phi} \\ s_{\psi}c_{\theta} & c_{\psi}c_{\phi} + s_{\psi}s_{\theta}s_{\phi} & -c_{\psi}s_{\phi} + s_{\psi}s_{\theta}c_{\phi} \\ -s_{\theta} & c_{\theta}s_{\phi} & c_{\theta}c_{\phi} \end{bmatrix}$$

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• Passage from earth frame  $(\mathcal{R}_0)$  to body frame $(\mathcal{R})$  $[X^T]_{\mathcal{R}} = [X^T]_{\mathcal{R}_0} \cdot R$ 

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Dynamics				

• Traslational dynamics in the body frame

$$m\begin{bmatrix} \dot{u}\\ \dot{v}\\ \dot{w}\end{bmatrix} + m\begin{bmatrix} p\\ q\\ r\end{bmatrix} \times \begin{bmatrix} u\\ v\\ w\end{bmatrix} = \begin{bmatrix} F_{Xaero}\\ F_{Yaero}\\ F_{Zaero}\end{bmatrix} + m\begin{bmatrix} -g\sin\theta\\ g\cos\theta\sin\phi\\ g\cos\theta\cos\phi\end{bmatrix}$$

• Rotational dynamics with respect to inertial earth frame

$$I\begin{bmatrix}\dot{p}\\\dot{q}\\\dot{r}\end{bmatrix} = -\begin{bmatrix}p\\q\\r\end{bmatrix} \times I\begin{bmatrix}p\\q\\r\end{bmatrix} + \begin{bmatrix}L_{aero}\\M_{aero}\\N_{aero}\end{bmatrix}$$

• Relationship between angular velocities and eulear angles

$$\dot{\phi} = p + \tan \theta (q \sin \phi + r \cos \phi)$$
$$\dot{\theta} = q \cos \phi - r \sin \phi$$
$$\dot{\psi} = \frac{q \sin \phi + r \cos \phi}{\cos \theta}$$

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Dynamics				

• UAV desired movements are obtained changing rotors speed in a proper way (altitude and attitude)



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Dynamics				

• Aerodynamic forces and momenta for each rotor

$$F_{Xj} = -\rho AR^{2} \frac{u_{j} - u_{w}}{\sqrt{(u_{j} - u_{w})^{2} + (v_{j} - v_{w})^{2}}} C_{Hj} \omega_{j}^{2}$$

$$F_{Yj} = -\rho AR^{2} \frac{v_{j} - v_{w}}{\sqrt{(u_{j} - u_{w})^{2} + (v_{j} - v_{w})^{2}}} C_{Hj} \omega_{j}^{2}$$

$$F_{Zj} = -\rho AR^{2} C_{Tj} \omega_{j}^{2}$$

$$L_{j} = -\operatorname{sign} \omega_{j} \rho AR^{3} \frac{u_{j} - u_{w}}{\sqrt{(u_{j} - u_{w})^{2} + (v_{j} - v_{w})^{2}}} C_{Rmj} \omega_{j}^{2}$$

$$M_{j} = -\operatorname{sign} \omega_{j} \rho AR^{3} \frac{v_{j} - v_{w}}{\sqrt{(u_{j} - u_{w})^{2} + (v_{j} - v_{w})^{2}}} C_{Rmj} \omega_{j}^{2}$$

$$N_{j} = -\operatorname{sign} \omega_{j} \rho AR^{3} C_{Qj} \omega_{j}^{2}$$

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Dynamics				

• Total aerodynamic forces

$$F_{Xaero} = \sum_{j=1}^{4} F_{Xj}, \ F_{Yaero} = \sum_{j=1}^{4} F_{Yj}, \ F_{Zaero} = \sum_{j=1}^{4} F_{Zj}$$

• Total aerodynamic momenta

$$L_{aero} = \sum_{j=1}^{4} (L_j + F_{Zj} I s_j - hF_{Yj})$$

$$M_{aero} = \sum_{j=1}^{4} (M_j - F_{Zj} I c_j + hF_{Xj})$$

$$N_{aero} = \sum_{j=1}^{4} (N_j + F_{Yj} I c_j - F_{Xj} I s_j)$$

$$c_j = \cos\left(\frac{\pi}{2}(j-1) + \epsilon\right)$$

$$s_j = \sin\left(\frac{\pi}{2}(j-1) + \epsilon\right)$$

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Dynamics				

• Aerodynamic coefficients from blade element momentum theory



$$\mu_{j} = \frac{\sqrt{(u_{j} - u_{w})^{2} + (v_{j} - v_{w})^{2}}}{R|\omega_{j}|}$$

• Simplified coefficients

$$\lambda_{j} = \lambda_{stat} - \frac{4}{\sigma_{a}} K_{z} \frac{w_{j} - w_{w}}{R|\omega_{j}|}$$

$$C_{Tj} = C_{Tstat} + K_{z} \frac{w_{j} - w_{w}}{R|\omega_{j}|}$$

$$C_{Hj} = K_{D} \mu_{j}$$

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Sliding Mode Control				

SMC design is composed of two steps:

- Design of a surface. While on the sliding surface, the dynamics is restricted to the equations of the surface and is robust against external disturbances.
- Design a feedback control law to provide convergence of the system trajectory to the sliding surface, and to obtain a finite time convergence.



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Sliding Mode Control				

- Reaching phase: the trajectory, starting from a nonzero initial conditions, reaches the sliding surface.
- Sliding surface: the trajectory remains and evolves according to the dynamics specified by the sliding surface.



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Sliding Mode Control				

Chattering issue:

- In theory the trajectory slides along the surface.
- In practice there is high frequency switching called chattering.



• Solutions have been developed to reduce the chattering so that the trajectory remains in a small neighborhood of the surface (High order SMC, saturation function).



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Sliding Mode Control				

Errors

$$\begin{array}{l} e_{z}=\!z-z_{des}\\ e_{\phi}=\!\phi-\phi_{des}\\ e_{\theta}=\!\theta-\theta_{des}\\ e_{\psi}=\!\psi-\psi_{des} \end{array}$$

- Sliding surface
- $S_i = \dot{e}_i + \alpha_i e_i, \quad \alpha_i > 0$ 
  - Lyapunov function

$$V_i = \frac{1}{2}S_i^2$$



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State-space form				

System

$$\dot{X} = f(X, U, d)$$

State

$$X = \begin{bmatrix} x & y & z & \dot{x} & \dot{y} & \dot{z} & \phi & \theta & \psi & p & q & r \end{bmatrix}^{T}$$

Control

$$U = \begin{bmatrix} U_z \\ U_\theta \\ U_\phi \\ U_\psi \end{bmatrix} = \begin{bmatrix} K_f & K_f & K_f & K_f \\ K_f | c_j & K_f | c_j & K_f | c_j & K_f | c_j \\ -K_f | s_j & -K_f | s_j & -K_f | s_j & -K_f | s_j \\ K_m & -K_m & K_m & -K_m \end{bmatrix} \begin{bmatrix} \omega_1^2 \\ \omega_2^2 \\ \omega_3^2 \\ \omega_4^2 \end{bmatrix}$$

where  $K_f = \rho A R^2 C_{Tstat}$ ,  $K_m = \rho A R^3 \left(\frac{\sigma C_{D0}}{8} + \lambda_{stat} \sigma a \left(\frac{\theta_0}{6} - \frac{\lambda_{stat}}{4}\right)\right)$ . Control inputs are proportional to the terms with  $\omega_j^2$ . The other terms dependent linearly on  $\omega_j$  and wind velocities are considered as disturbances. Since we do not know in advance the wind perturbations, then we cannot use these terms in controls.

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Disturbances				

• Upper-bound of the control equation from Jensen's inequality

$$\sum_{j=1}^{4} |\omega_j| \le K \sqrt{|U_z|}, \qquad K = \frac{2}{\sqrt{K_f}}$$

• Disturbance upper-bounds after substitutions

$$\begin{aligned} |d_{x}| \leq &\bar{K}_{D} \left(|X| + D_{x}\right) \sqrt{|U_{z}|} \\ |d_{y}| \leq &\bar{K}_{D} \left(|X| + D_{y}\right) \sqrt{|U_{z}|} \\ |d_{z}| \leq &\bar{K}_{z} \left(|X| + D_{z}\right) \sqrt{|U_{z}|} \\ |d_{\phi}| \leq &\bar{K}_{\phi} \left(f_{\phi 1} \left(X\right) + D_{\phi 1}\right) \sqrt{|U_{z}|} + \bar{K}_{\phi} \left(f_{\phi 2} \left(X\right) + D_{\phi 2}\right) \\ |d_{\theta}| \leq &\bar{K}_{\theta} \left(f_{\theta 1} \left(X\right) + D_{\theta 1}\right) \sqrt{|U_{z}|} + \bar{K}_{\theta} \left(f_{\theta 2} \left(X\right) + D_{\theta 2}\right) \\ |d_{\psi}| \leq &\bar{K}_{\psi} \left(f_{\psi 1} \left(X\right) + D_{\psi 1}\right) \sqrt{|U_{z}|} + \bar{K}_{\psi} \left(f_{\psi 2} \left(X\right) + D_{\psi 2}\right) \end{aligned}$$

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Altitude control				

Steps to design the altitude control:

• System in compact form

$$\ddot{z} = g - (\cos\phi\cos\theta) \frac{1}{m} (U_z + d_z)$$

• Error between reference signal and state value

$$e_z = z - z_{des}$$

• Derivative of the sliding surface

$$\dot{S}_z = \ddot{z} + \alpha_z \dot{z} = g - rac{\cos\theta\cos\phi}{m}(U_z + d_z) + \alpha_z \dot{z}$$

• Control equation

$$U_z = \frac{m}{\cos\theta\cos\phi} \left(g - \tilde{u}_z + \alpha_z \dot{z}\right) \le \frac{m}{\gamma} \left(|g + \alpha_z \dot{z}| + |\tilde{u}_z|\right)$$

• Derivative of Lyapunov function

$$\dot{V} = S_z \dot{S}_z \le S_z \tilde{u}_z + |S_z| |d_z \frac{1}{m}| = S_z \tilde{u}_z + |S_z| \frac{1}{m} \bar{K}_z \left( |X| + D_z \right) \sqrt{|U_z|}$$

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Altitude control				

$$\dot{V} \leq S_z \tilde{u}_z + |S_z| \left( \varrho(X) + \nu(X) \sqrt{|\tilde{u}_z|} \right)$$
$$\varrho(X) = \frac{1}{m} \sqrt{\frac{m}{\gamma}} \tilde{K}_z \left( |X| + D_z \right) \sqrt{|g + \alpha_z \dot{z}|}$$
$$\nu(X) = \frac{1}{m} \sqrt{\frac{m}{\gamma}} \tilde{K}_z \left( |X| + D_z \right)$$

• Auxiliary control

$$\tilde{u}_z = -\beta(X)\operatorname{sign}(S_z)$$
  
$$\beta(X) = \frac{1}{2} \left( \nu(X)^2 + 2\varrho(X) + \nu(X)\sqrt{\nu^2(X) + 4\varrho(X)} \right) + \delta$$

• Finite time stability proved

$$\dot{V} < -\sqrt{2}\delta\sqrt{V}$$

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Attitude control				

• Attitude is equivalent to a control of linear acceleration so it leads to stabilizing the linear speed.

Steps to design the roll control:

• System in compact form

$$\ddot{\phi} = \dot{\theta} \dot{\psi} \frac{I_{yy} - I_{zz}}{I_{xx}} + \frac{1}{I_{xx}} (U_{\phi} + d_{\phi})$$

• Error between reference signal and state value

$$e_{\phi} = \phi - \phi_{des}$$

• Derivative of the sliding surface

$$\dot{S}_{\phi} = \ddot{\phi} + \alpha_{\phi}\dot{\phi} = \dot{\theta}\dot{\psi}\frac{I_{yy} - I_{zz}}{I_{xx}} + \frac{1}{I_{xx}}(U_{\phi} + d_{\phi}) + \alpha_{\phi}\dot{\phi}$$

Control equation

$$U_{\phi} = I_{xx} \left( -\dot{\theta} \dot{\psi} \frac{I_{yy} - I_{zz}}{I_{xx}} + \tilde{u}_{\phi} - \alpha_{\phi} \dot{\phi} \right)$$

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Attitude control				

• Derivative of Lyapunov function

$$egin{aligned} \dot{V} = & S_{\phi} \dot{S}_{\phi} \leq S_{\phi} \tilde{u}_{\phi} + |S_{\phi}| |d_{\phi} rac{1}{I_{ ext{xx}}}| \ & \leq S_{\phi} \tilde{u}_{\phi} + rac{|S_{\phi}|}{I_{ ext{xx}}} \Big( ilde{K}_{\phi} ig( f_{\phi 1}(X) + D_{\phi 1} ig) \sqrt{|U_{z}|} + ar{K}_{\phi} ig( f_{\phi 2}(X) + D_{\phi 2} ig) \Big) \end{aligned}$$

• Auxiliary control

$$\begin{split} \tilde{u}_{\phi} &= -\frac{1}{I_{xx}} \mathrm{sign} S_{\phi} \Big( \tilde{K}_{\phi} \big( f_{\phi 1} \left( X \right) + D_{\phi 1} \big) \sqrt{|U_z|} \\ &+ \bar{K}_{\phi} \big( f_{\phi 2} \left( X \right) + D_{\phi 2} \big) \Big) \end{split}$$

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Summary scheme				



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Simulations				

Simulation data and constraints:

• wind signal



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- mass UAV 0.47Kg
- max rotor speed 400*rad/s*
- max thrust rotors 5.6N
- rotors dynamics  $\frac{1}{1+0.03s}$

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Simulations				

• For a suciently small  $\phi$ , if for a sign function all trajectories converge to an equilibrium, then with a saturation all trajectories converge in a compact set around that equilibrium.

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Saturation function:

$$\operatorname{sat}_{\phi}(x) = egin{cases} \operatorname{sign}(x) & ext{if} \quad |x| > \ \operatorname{arctan}\left(rac{1}{\phi}x
ight) & ext{otherwise} \end{cases}$$



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Simulations				

• System response to desired input with no wind disturbances



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Simulations				

• Robustness of the proposed control under wind disturbances and convergence



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Summarizing:

- Choice of UAV physical model influenced by wind disturbance;
- SMC applied to UAV problems (attitude and altitude) with simplified coefficients equations;
- Robustness of the proposed SMC method with respect to:
  - wind disturbances;
  - uncertainty of identified model parameters;
  - unmodeled rotor dynamics;
- Further work in trajectory considering also  $x_{des}, y_{des}, \dot{x}_{des}, \dot{y}_{des}$ .

### Thank you for your attention!

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