

# Anti-Windup Design for Synchronous Machines

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CentraleSupélec

International Workshop on Robust LPV Control Techniques and  
Anti-Windup Design

Toulouse, April 17th, 2018

# Outline

- 1 **Motivation:** Use of electric machines, PI control
- 2 *Problem statement:* Improve transients of speed control of synchronous machines.
- 3 *Proposed solution:* Static Anti-windup.
- 4 *Example:* Application to a PMSM.

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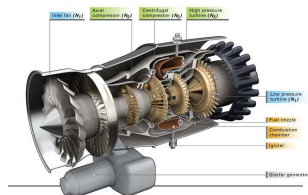
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# Electric Motors

## Use of electric motors

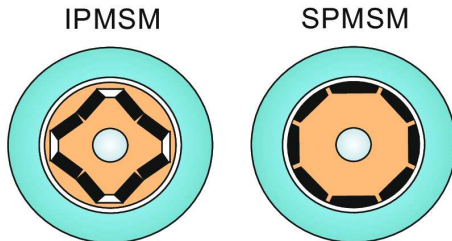


## Anti-windup for electric motors:

Saqib, Rehan, Iqbal, and Hong. *Static Antiwindup Design for Nonlinear Parameter Varying Systems With Application to DC Motor Speed Control Under Nonlinearities and Load Variations*, IEEECSST 18;  
 March and Turner. *Anti-Windup Compensator Designs for Nonsalient Permanent-Magnet Synchronous Motor Speed Regulators*, IEEEETIA 09;  
 Sepulchre, Devos, Jadot, and Malrait. *Antiwindup Design for Induction Motor Control in the Field Weakening Domain*, IEEECSST 13;

# Permanent Magnet Synchronous Motors

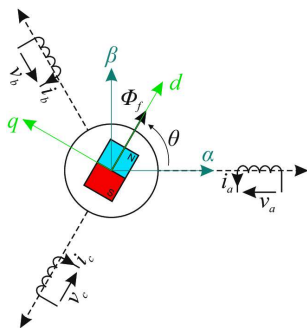
Internal vs Surface



Salient vs Non-Salient

# Permanent Magnet Synchronous Motors

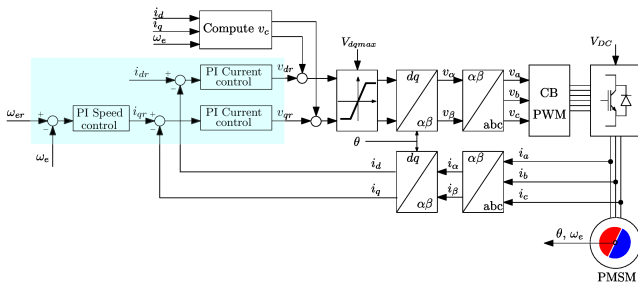
Choice of coordinates: Park and Clarke transformation





# Permanent Magnet Synchronous Motors

## Architecture for speed control



# Park Transformation

Choice of coordinates, in the rotor we have

$$\begin{cases} \frac{di_d}{dt} = -\frac{R_s}{L_d} i_d + \frac{L_q}{L_d} \omega_e i_q + \frac{1}{L_d} U_1 \\ \frac{di_q}{dt} = -\frac{R_s}{L_q} i_q - \frac{L_d}{L_q} \omega_e i_d - \frac{\psi_f}{L_q} \omega_e + \frac{1}{L_q} U_2 \\ \frac{d\omega_e}{dt} = \frac{N_p}{J} \gamma(i_d, i_q) - \frac{f}{J} \omega_e - \frac{N_p}{J} \gamma_L \end{cases}$$

$$\begin{bmatrix} U_1 \\ U_2 \end{bmatrix} \in \mathcal{U}$$

$$\gamma(i_d, i_q) = \frac{3}{2} N_p (\psi_f + (L_d - L_q) i_d) i_q$$

$i_q$  direct current,  $i_q$  quadrature current,  $\omega_e$  electrical speed.

$\psi_f$ , rotor flux,  $R_s$ , stator resistance,  $L_d$ ,  $L_q$  direct and quadrature inductances.

$J$  the moment of inertia of the rotor,  $N_p$  the number of pairs of poles,  $f$  viscous friction coefficient.

Vas. *Sensorless Vector and Direct Torque Control*, Oxford 98;

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# Speed control

For speed control, a strategy consists in taking

$$\gamma(i_d, i_q) = \frac{3}{2} N_p (\psi_f + (L_d - L_q) i_d) i_q$$

as the *input* for the speed control. A reference torque  $\gamma_r$  is obtained with

$$(i_{dr}, i_{qr}) = (0, K_\psi \gamma_r).$$

where  $K_\psi = \left(\frac{3}{2} N_p \psi_f\right)^{-1}$ .

# PID for speed control

The control inputs ( $v_d, v_q$ ) generated (using  $(i_d, i_q)$ )

$$\left\{ \begin{array}{l} \dot{e}_d = -i_d + i_{dr} \\ \dot{e}_q = -i_q + i_{qr} \\ \dot{e}_{\omega_e} = -\omega_e + \omega_{er} \\ \gamma_r = \frac{K_i}{T_{ji}} e_{\omega_e} - K_i \omega_e + K_i \omega_{er} \\ v_{dr} = \frac{K_d}{T_{jd}} e_d - K_d i_d + K_d i_{dr} \\ v_{qr} = \frac{K_q}{T_{iq}} e_q - K_q i_q + K_q i_{qr}. \end{array} \right.$$

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# Non-linear compensation

Remove the nonlinear terms with

$$v_c = \begin{bmatrix} -L_q \omega_e i_q \\ L_d \omega_e i_d + \psi_f \omega_e \end{bmatrix}$$

and applying in  $v = v_r + v_c$ .

# Non-saturated system

The closed-loop

$$\dot{\xi} = A\xi + f(\xi) + B_\omega \omega_{er} + B_\gamma \gamma_L$$

with  $\xi = [i_d \ i_q \ \omega_e \ e_d \ e_q \ e_{\omega_e}]^T$

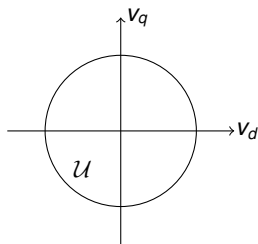
$$A = \begin{bmatrix} \frac{-R_s - K_d}{L_d} & 0 & 0 & \frac{K_d}{T_{id} L_d} & 0 & 0 \\ 0 & \frac{-R_s - K_q}{L_q} & \frac{-\psi_f - K_q K_\psi K_i}{L_q} & 0 & \frac{K_q}{L_q T_{iq}} & \frac{K_q K_\psi K_i}{L_q T_{ii}} \\ 0 & \frac{3}{2} \frac{N_p^2}{J} \psi_f & -\frac{1}{J} & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & -K_\psi K_i & 0 & 0 & \frac{K_\psi K_i}{T_{ij}} \\ 0 & 0 & -1 & 0 & 0 & 0 \end{bmatrix}; f(\xi) = \begin{bmatrix} 0 \\ 0 \\ \frac{3}{2} \frac{N_p^2}{J} (L_d - L_q) i_d i_q \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

$$B_\omega = \begin{bmatrix} 0 \\ \frac{K_q K_\psi K_i}{L_q} \\ 0 \\ 0 \\ K_\psi K_i \\ 1 \end{bmatrix}; B_\gamma = \begin{bmatrix} 0 \\ 0 \\ -\frac{N_p}{J} \\ 0 \\ 0 \\ 0 \end{bmatrix}.$$



# Input Constraints

The actual constraint set is  $\mathcal{U} = \{u \in \mathbb{R}^2 \mid \|u\|_2 \leq u_{\max}\}$



Define a mapping  $\sigma : \mathbb{R}^2 \rightarrow \mathcal{U}$  such that

$$u = \sigma(v), \quad v = \begin{bmatrix} v_d \\ v_q \end{bmatrix}.$$

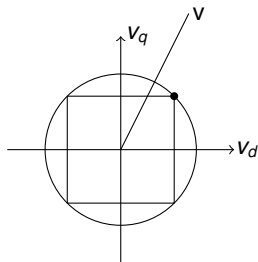
# Standard saturation

Let us denote

$$\sigma_A : \mathbb{R}^2 \rightarrow \left\{ u \in \mathbb{R}^2 \mid \|u\|_\infty \leq \frac{\sqrt{2}}{2} u_{\max} \right\} \subset \mathcal{U}$$

the standard decentralized saturation with a fixed saturation level.

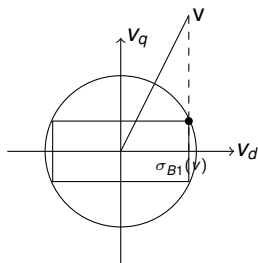
$$\sigma_A(v) = \begin{bmatrix} \text{sign}(v_d) \max(|v_d|, \frac{\sqrt{2}}{2} u_{\max}) \\ \text{sign}(v_q) \max(|v_q|, \frac{\sqrt{2}}{2} u_{\max}) \end{bmatrix}$$



## Alternative saturation

Let us denote  $\sigma_B : \mathbb{R}^2 \rightarrow \mathcal{U}$  a mapping that gives priority to the first input

$$\sigma_B(\mathbf{v}) = \begin{bmatrix} \text{sign}(v_d) \max(|v_d|, u_{\max}) \\ \text{sign}(v_q) \max(|v_q|, \sqrt{u_{\max}^2 - \sigma_{B1}^2(\mathbf{v})}) \end{bmatrix}$$

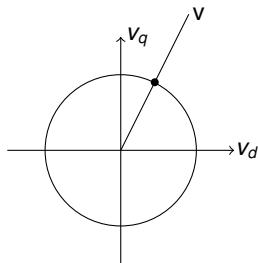


Introducing a *variable* saturation level for the second input

# Directionality preserving saturation

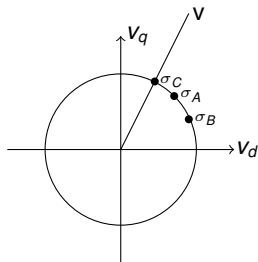
Let us denote  $\sigma_C : \mathbb{R}^2 \rightarrow \mathcal{U}$  the directionality preserving map

$$\sigma_C(v) = \frac{u_{\max}}{\max(u_{\max}, \|v\|_2)} v$$



# Sector conditions for directionality preserving

For the same value  $v$  different inputs

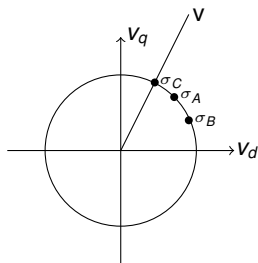


How to incorporate these functions for the analysis/synthesis?

→ Sector conditions

# Sector conditions for directionality preserving

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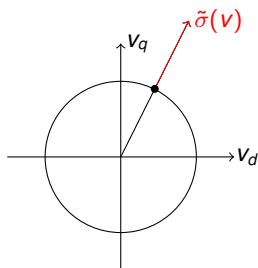
How to incorporate these functions for the analysis/synthesis?

→ Sector conditions

## Sector condition

Consider the directionality preserving non-linearity  $\sigma(v) = \sigma_C(v)$  and define  $\tilde{\sigma}(v) := v - \sigma(v)$ . We have

$$\begin{aligned} \tilde{\sigma}(v) &= v - \sigma(v) \\ &= v - \frac{u_{\max}}{\max(u_{\max}, \|v\|_2)} v \\ &= \underbrace{\left(1 - \frac{u_{\max}}{\max(u_{\max}, \|v\|_2)}\right)}_{\in [0, 1]} v \end{aligned}$$



# Sector condition

## Lemma 1.1

For any  $T \in \mathbb{S}_{\geq 0}^m$  the inequality

$$\tilde{\sigma}^T(u)T(\tilde{\sigma}(u) - u) \leq 0.$$

holds for all  $u \in \mathbb{R}^m$ .

## Proof.

Since  $\tilde{\sigma}(u) = (1 - \beta(u))u$  and  $\beta(u) \in [0, 1]$ , we have

$$\begin{aligned}\tilde{\sigma}^T(u)T(\tilde{\sigma}(u) - u) &= (1 - \beta(u))u^T T(-\beta(u))u \\ &= (1 - \beta(u))(-\beta(u))u^T T u \\ &\leq 0.\end{aligned}$$





# State constraints, current limitation

Also need to prevent high peak currents, that is

- total peak current  $\leq \|i_{peak}\|_2$
- total steady state current  $\leq \|i_{ss}\|_2$

These are *state constraints* involving the total current  $\|i\|_2^2 = i_d^2 + i_q^2$ .

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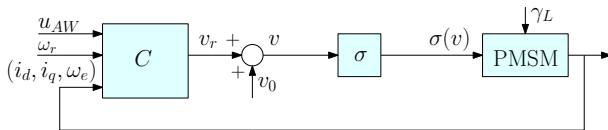
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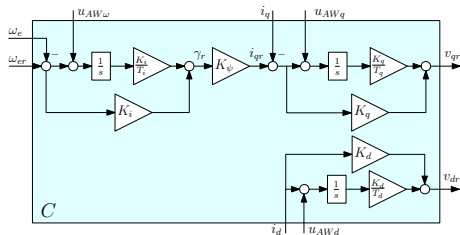
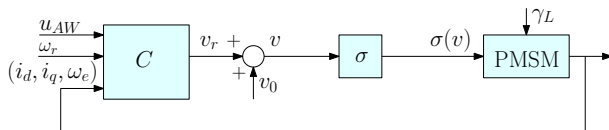
# Speed Control Architecture

A block diagram of the control loop of the linearized system including the saturation is given below



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And the control inputs ( $v_d, v_q$ ) are obtained from

$$\left\{ \begin{array}{l} \dot{e}_d = -i_d + v_{AWd} \\ \dot{e}_q = -i_q + K_\psi \gamma_r + v_{AWq} \\ \dot{e}_{\omega_e} = -\omega_e + \omega_{er} + v_{AW\omega} \\ \gamma_r = \frac{K_i}{T_{ji}} e_{\omega_e} - K_i \omega_e + K_i \omega_{er} \\ v_d = \frac{K_d}{T_{jd}} e_d - K_d i_d + K_d i_{dr} \\ v_q = \frac{K_q}{T_{iq}} e_q - K_q i_q + K_q i_{qr} \end{array} \right.$$

# Speed control with anti-windup input

Using  $\tilde{\sigma}(v) := v - \sigma(v)$  the closed-loop system becomes

$$\dot{\xi} = A\xi - B\tilde{\sigma}(v) + B_{AW}v_{AW} + f(\xi) + B_{\omega}\omega_{er} + B_{\gamma}\gamma_L$$

$$B = \begin{bmatrix} \frac{1}{L_d} & 0 \\ 0 & \frac{1}{L_q} \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}; B_{AW} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}; v_{AW} = \begin{bmatrix} v_{AWd} \\ v_{AWq} \\ v_{AW\omega} \end{bmatrix}.$$

Compute a *static Anti-Windup*  $u_{AW} = K_{AW}\tilde{\sigma}(v)$ .

The LMI based method used here can be found in

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# Static Anti-Windup

Consider the system

$$\begin{cases} \dot{\xi} &= A_{cl}\xi + (B_{cl,q} + B_{cl,AW}K_{AW})\tilde{\sigma}(v) + B_{cl,w}w \\ v &= C_{cl}\xi + D_{cl,u}\tilde{\sigma}(v) + D_{cl,w}w \\ z &= C_{cl,z}\xi + D_{cl,z}\tilde{\sigma}(v) + D_{cl,z}w \end{cases}$$

## Theorem 2.1

If there exist  $Q \in \mathbb{S}_{>0}^n$ ,  $S \in \mathbb{R}^{m \times n}$ ,  $T \in \mathbb{D}_{>0}^m$ , and a scalar  $\gamma > 0$  such that

$$\text{He} \begin{pmatrix} A_{cl}Q & B_{cl,q}T + B_{cl,AW}S & B_{cl,w} & 0_{n \times p} \\ C_{cl,u}Q & (D_{cl,u} - I_m)T & D_{cl,uw} & 0_{m \times p} \\ 0_{m_w \times n} & 0_{m_w \times m} & -\frac{1}{2}\gamma I_{m_w} & 0_{m_w \times p} \\ C_{cl,z}Q & D_{cl,z}T & D_{cl,zw} & -\frac{1}{2}\gamma I_p \end{pmatrix} < 0$$

Then  $K_{AW} = ST^{-1}$  guarantees  $\frac{\|w\|_2}{\|z\|_2} < \gamma$  for the closed-loop system.

## Experimental results

We applied the above to a *non-salient machine* with  $R_s = 0.95\Omega$ ,

$L_d = L_q = 13.6mH$ ,  $\phi_f = 0.284Wb$ , and  $u_{\max} = 34V$ .  $N_p = 4$ ,

$J = 3.2 \times 10^{-3}kgm^2$ ,  $f = 0.0001Nms^{-1}$ .

PI gains  $K_q = K_d = 34$   $T_{iq} = T_{id} = 0.0143$  and  $K_i = 0.2011$   $T_{ii} = 0.0796$ .

With

$$W = \gamma_L$$

and

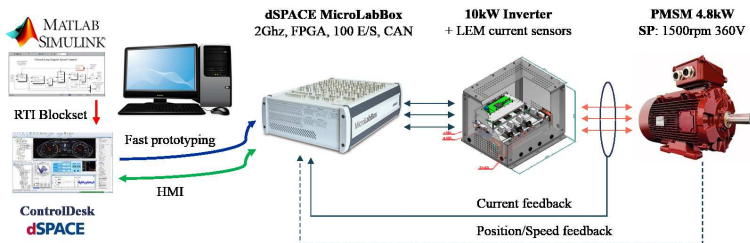
$$Z = \omega_e - \omega_{er},$$

we obtain

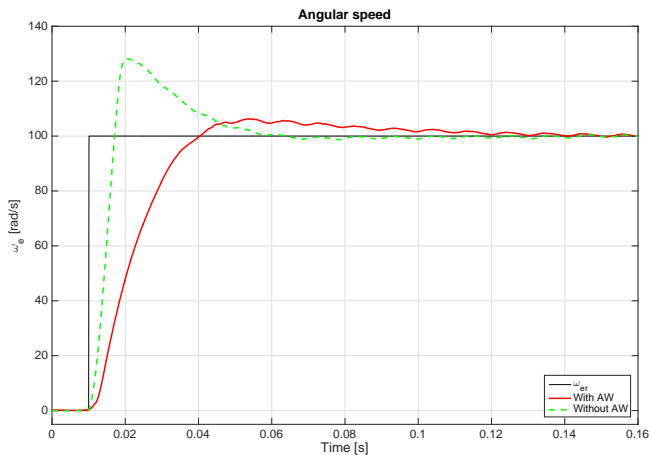
$$K_{AW} = \begin{bmatrix} -1.3408 & 0.000 \\ 0.0006 & -1.0563 \\ -0.0012 & -2.3856 \end{bmatrix}.$$

# Experimental results

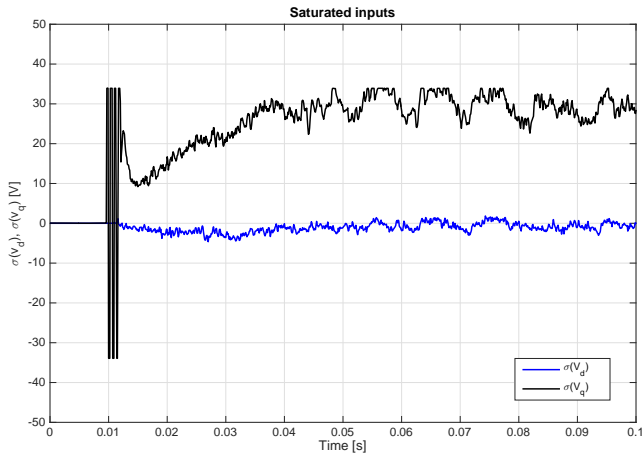
## Experimental setup



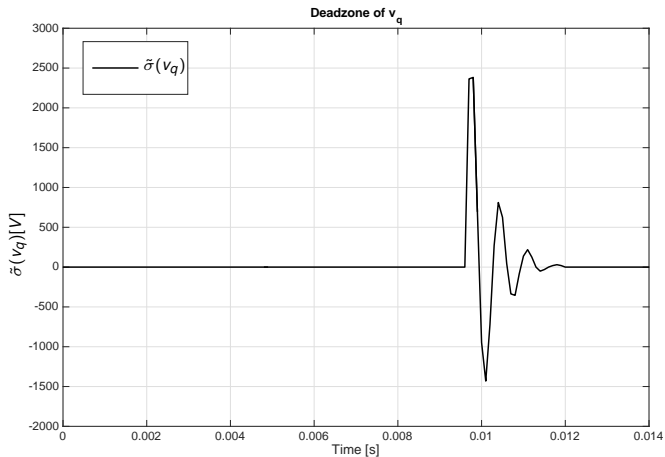
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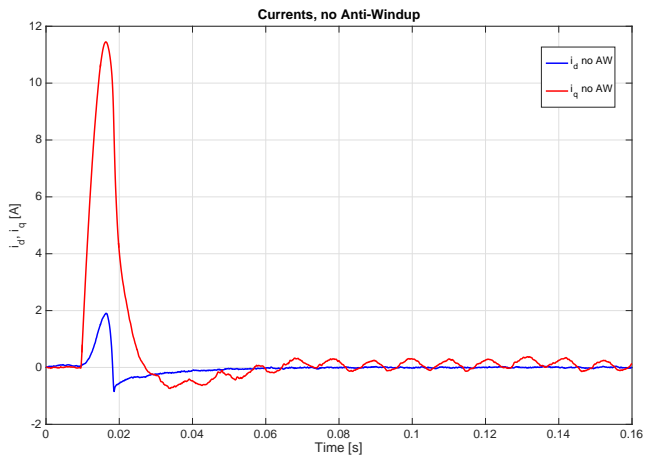
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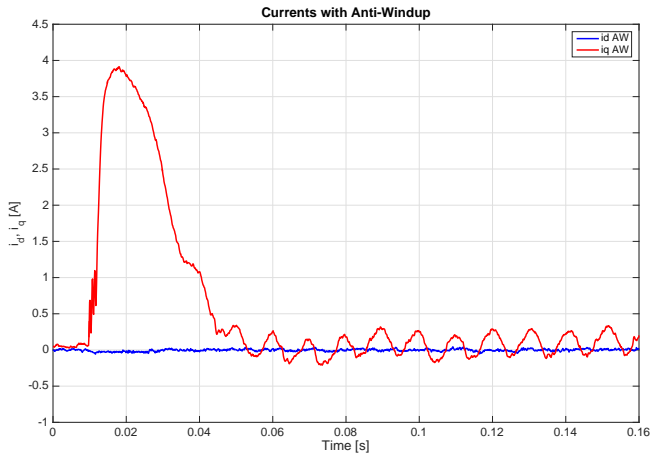
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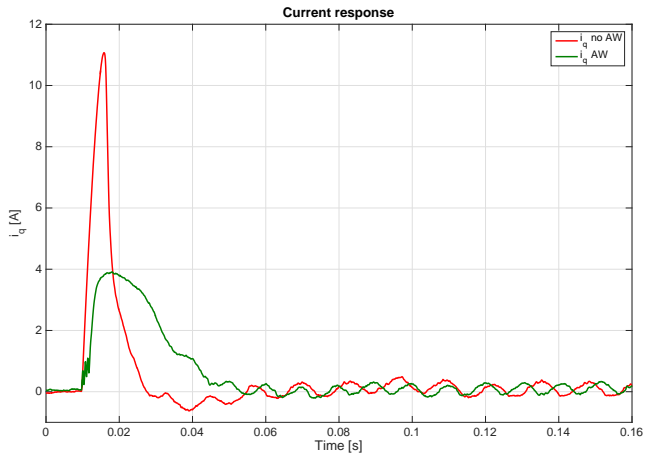


# Experimental results





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# Steps for non-linear analysis

Due to the nonlinear terms, the *compensation* is lost when the system saturates. For the non-salient case we have

$$\begin{cases} \dot{\xi} &= A_{cl}\xi + (B_{cl,q} + B_{cl,AW}K_{AW})\tilde{\sigma}(v) + B_{cl,w}W \\ v &= C_{cl}\xi + v_c(\xi) + D_{cl,u}\tilde{\sigma}(v) + D_{cl,w}W \\ z &= C_{cl,z}\xi + D_{cl,z}\tilde{\sigma}(v) + D_{cl,z}W \end{cases}$$

not

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$$v_c(\xi) = \begin{bmatrix} -L_q\omega_e\dot{i}_q \\ L_d\omega_e\dot{i}_d \end{bmatrix}$$

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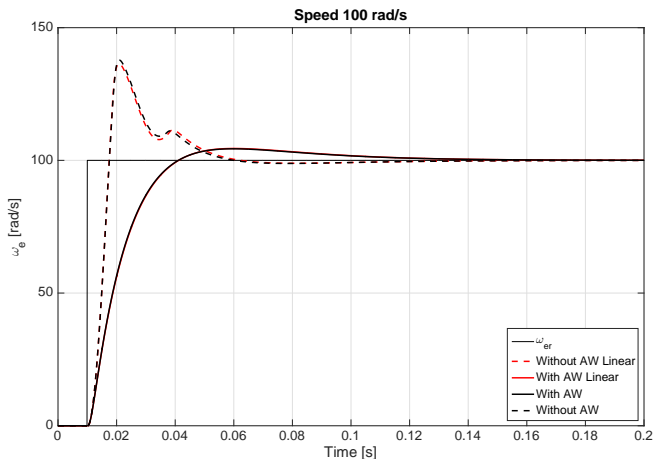
not

$$\begin{cases} \dot{\xi} &= A_{cl}\xi + (B_{cl,q} + B_{cl,AW}K_{AW})\tilde{\sigma}(v) + B_{cl,w}w \\ v &= C_{cl}\xi + D_{cl,u}\tilde{\sigma}(v) + D_{cl,w}w \\ z &= C_{cl,z}\xi + D_{cl,z}\tilde{\sigma}(v) + D_{cl,z}w \end{cases}$$

$$v_c(\xi) = \begin{bmatrix} -L_q\omega_e i_q \\ L_d\omega_e i_d \end{bmatrix}$$

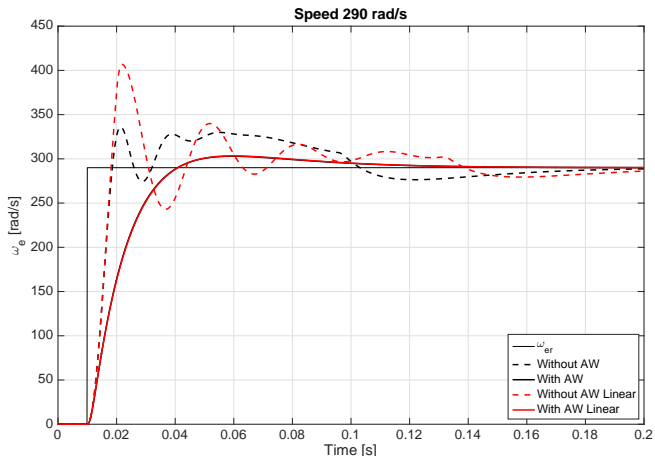
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Due to the nonlinear terms, the *compensation* is lost when the system saturates. We have



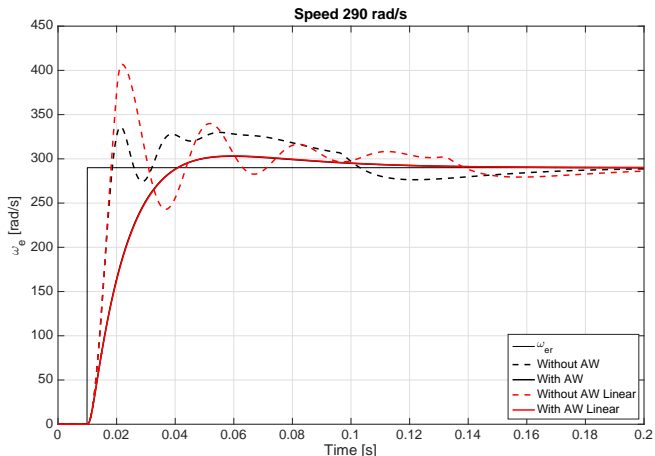
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# Steps for non-linear analysis

Consider the quadratic function

$$V_0(i_d, i_q, \omega_e) = \frac{1}{2} \begin{bmatrix} i_d \\ i_q \\ \omega_e \end{bmatrix}^T P_0(p_1) \begin{bmatrix} i_d \\ i_q \\ \omega_e \end{bmatrix}$$

with

$$P_0(p_1) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & p_1 & 0 \\ 0 & 0 & \left(\frac{3}{2} \frac{N_p^2}{J} (L_d - L_q)\right)^{-1} \left(\frac{L_q}{L_d} - \frac{L_d}{L_q} p_1\right) \end{bmatrix}$$

$P_0(p_1) > 0$  provided

$$0 < p_1 < \left(\frac{L_q}{L_d}\right)^2, \text{ if } L_d > L_q \quad \text{or} \quad p_1 > \left(\frac{L_q}{L_d}\right)^2, \text{ if } L_d < L_q.$$

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# Energy-preserving nonlinearities

We have  $\langle \nabla V_0, f(\xi) \rangle$

$$\left\langle \nabla V_0, \begin{bmatrix} \frac{L_q}{L_d} \omega_e i_q \\ -\frac{L_d}{L_q} \omega_e i_d \\ \frac{3}{2} \frac{N_p^2}{J} (L_d - L_q) i_d i_q \end{bmatrix} \right\rangle = \begin{bmatrix} i_d \\ i_q \\ \omega_e \end{bmatrix}^T P_0(p_1) \begin{bmatrix} \frac{L_q}{L_d} \omega_e i_q \\ -\frac{L_d}{L_q} \omega_e i_d \\ \frac{3}{2} \frac{N_p^2}{J} (L_d - L_q) i_d i_q \end{bmatrix} = 0$$

Take  $P_c > 0$  and consider

$$V(x) = V_0(i_d, i_q, \omega_e) + \frac{1}{2} \begin{bmatrix} e_d \\ e_q \\ e_{\omega_e} \end{bmatrix}^T P_c \begin{bmatrix} e_d \\ e_q \\ e_{\omega_e} \end{bmatrix}$$

which, from the above gives  $\dot{V}$  as a quadratic function... However with the above  $\dot{V} \not\leq 0$ .

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- Applied static Anti-Windup synthesis to a PMSM model.
- Defined non-linearities to exploit the input set.
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  - saturation of the reference current  $i_{qr}$ ,
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# Review

Thank you!

# Local Sector Condition

The lemma below provides an inequality that holds only locally.

## Lemma 4.1

For any  $T \in \mathbb{S}_{\geq 0}^m$ , and any scalar  $\eta \in (0, 1)$  the inequality

$$S(u, \eta) := \tilde{\sigma}^T(u)T(\tilde{\sigma}(u) - (1 - \eta)u) \leq 0$$

holds for all  $u \in \eta^{-1}\mathcal{U}$ .

$$\text{maximize } \beta \quad \text{subject to } \beta \in [0, 1], \beta u \in \mathcal{U}. \quad (3)$$

## Proof.

We have  $\eta^{-1}\mathcal{U} = \{u \in \mathbb{R}^m \mid \eta u \in \mathcal{U}\}$ . Thus, from (3), the mapping  $\beta$  satisfies  $\beta(u) \geq \eta$  for all  $u \in \eta^{-1}\mathcal{U}$ . Hence we have

$$\begin{aligned} S(u, \eta) &= \tilde{\sigma}^T(u)T(\tilde{\sigma}^T(u) - (1 - \eta)u) \\ &= (1 - \beta(u))u^T T((1 - \beta(u))u - (1 - \eta)u) \\ &= (1 - \beta(u))(\eta - \beta(u))u^T T u. \end{aligned}$$

Since  $(1 - \beta(u)) \geq 0$  and  $u^T T u \geq 0 \forall u \in \mathbb{R}^m$  and  $(\eta - \beta(u)) \leq 0 \forall u \in \eta^{-1}\mathcal{U}$ , we have  $S(u, \eta) \leq 0 \forall u \in \eta^{-1}\mathcal{U}$ . □